



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(54) Title:</b> METAL PIGMENT COMPOSITION  <b>(57) Abstract</b>  There is provided a process for preparing a low- or non-dusting substantially non-volatile (preferably highly light reflective) metal flake pigment composition, which comprises ball milling atomised metal powder in a milling fluid substantially comprised of water, in the presence of one or more corrosion inhibitors and a substance which acts as a lubricant for the milling process. Optionally, the paste may be formed into granules for easy storage. Preferred metal pigments are aluminium or gold bronze and in one embodiment the metal pigment is aluminum, the lubricant is oleic acid and the corrosion inhibitor is a phosphate ester having a polyethylene oxide side chain. Agent(s) having both lubricating and corrosion inhibiting properties are preferred as additives.		

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1     **METAL PIGMENT COMPOSITION**

2

3     The present invention relates to a process for  
4     preparing low- or non-dusting, substantially non-  
5     volatile highly light reflective metal pigment  
6     compositions by a ball milling process using water as  
7     the major milling fluid.

8

9     The preparation of metal flake pigments is well  
10    documented in the patent literature. For example, US  
11    Patent 3901688 describes a wet ball milling process in  
12    which metal powder or chopped foil is milled with an  
13    organic liquid such as mineral spirits and a small  
14    amount of a lubricant such as stearic or oleic acid.  
15    The metal flakes so produced are separated, for example  
16    by wet sieving to provide the desired particle size  
17    distribution and thereafter brought to a paste-like  
18    consistency of, typically, 55-80% weight metal content.  
19    In this conventional process the lubricant is required  
20    to avoid cold welding of the metal flakes under the  
21    action of the grinding media. The purpose of the  
22    organic liquid is to reduce the viscosity of the  
23    system, enabling efficient conversion of the starting  
24    powder to flakes.

25

1 Metal flakes may also be prepared in the complete  
2 absence of solvent by a dry ball milling process, such  
3 as that described in US Patent 4115107.

4  
5 A further method of preparation of metal flake is by  
6 electrodeposition of a thin film of metal onto an inert  
7 support, followed by removal and fragmentation into  
8 flakes. The product is generally provided either as  
9 dry flake or as a dispersion of the metal flakes in  
10 solvent.

11  
12 The aforementioned methods for preparing metal flake  
13 pigments suffer from a number of disadvantages. For  
14 example, dry milling processes are now little used, due  
15 to the explosive and contaminating properties of the  
16 dry flake products. Though the paste form, in which  
17 the metal flake particles are damped by solvent, is  
18 inherently safer and easier to handle, it is not  
19 without problems. The 20-45% by weight solvent portion  
20 of metal pigment pastes may be acceptable in certain  
21 coating applications such as automotive paints, but in  
22 others, especially printing inks, such solvents  
23 typically slow down the drying rate and may cause odour  
24 in the final printed film, due to retention of minute  
25 concentrations of these typically high boiling point  
26 solvents. This is especially disadvantageous in  
27 printed films on packaging intended for food contact.  
28 The presence of organic solvent in other application  
29 areas, such as plastics, can also be very undesirable.  
30 The solvent vaporises during processing, causing  
31 bubbles and surface blemishes in the pigmented plastic  
32 article.

33  
34 Flake formation by the electrodeposition process can  
35 give very bright flakes of narrow particle size  
36 distribution, but it is a very costly process, unsuited

1 to large scale production. This is because the  
2 equipment required to maintain the high vacuum  
3 environment in which the metal is vaporised is very  
4 expensive to construct and operate.

5

6 It is also found that the storage stability of the  
7 metal pigment pastes themselves is finite, due to the  
8 tendency of the organic solvent component to evaporate,  
9 leading to aggregation of the metal flake particles.  
10 This is especially true for pastes in which a more  
11 highly volatile solvent has been employed, perhaps to  
12 ensure the compatibility of the metal pigment paste in  
13 a particular coating system. Once aggregated,  
14 redispersion is difficult. In derived coatings, hiding  
15 power, or opacity, and also gloss, may be greatly  
16 reduced.

17

18 Increasingly too, environmental concern about organic  
19 solvent is leading to legislation which encourages a  
20 lower use of volatile solvents in industrial processes.  
21 The costs associated with solvent purchase, storage and  
22 recovery are increasing. Containment equipment,  
23 required to meet increasingly severe legislative  
24 constraints on the emission of solvent to the  
25 atmosphere is expensive. As a result replacement of  
26 organic solvents by water is a strategic goal in many  
27 industries.

28

29 Recent changes in health and safety classifications  
30 require a number of high boiling point petroleum  
31 derived hydrocarbon solvents traditionally used in  
32 metal pigment manufacture to be designated  
33 carcinogenic. This increases the pressure for their  
34 elimination from metal flake pigment manufacturing  
35 processes.

36

1 The need for a stable, safe and easily handled product  
2 metal pigment form, free of the disadvantages of both  
3 dry flakes and solvent containing pastes has been met  
4 by the products of European Patent 0134676. There is  
5 described therein a process for the preparation of a  
6 solid low- or non-dusting, metal pigment composition  
7 which comprises forming a coherent paste of an organic  
8 binder medium, an organic liquid vehicle and metal  
9 pigment, in powder or flake form, the paste being  
10 formed by mixing a first component comprising organic  
11 binder medium and a second component comprising metal  
12 pigment, with either or both of the first and second  
13 components comprising organic liquid vehicle and the  
14 paste containing from 3-45% of the organic binder  
15 medium based on the weight of the metal pigment, and  
16 either sub-dividing the coherent paste into particles  
17 and removing substantially all organic liquid vehicle  
18 from the particles, or removing substantially all the  
19 organic liquid vehicle from the coherent paste and sub-  
20 diving the resulting mass into particles, at least 98%  
21 by weight of the resulting particles being retained on  
22 a sieve having a 150  $\mu$ m aperture and each containing a  
23 plurality of metal pigment particles dispersed in a  
24 matrix of organic binder medium. The so-called  
25 "granule" products of this process can be prepared  
26 using organic binder media compatible with the end  
27 application. Thus, for example, synthetic aldehyde and  
28 ketone resins could be employed for ink applications  
29 and polyolefin derivatives for the mass pigmentation of  
30 thermoplastics.

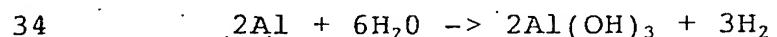
31

32 Though the products of European Patent 0134676 are  
33 substantially free of organic solvent, the process  
34 itself still involves processing of solvent. It is  
35 thus still subject to the aforementioned legislative  
36 pressures. There is therefore a clear need for a

1 process for preparing an easily handled, dust free,  
2 metal pigment product, which does not employ organic  
3 solvents.

4  
5 It is possible to prepare a dust free, metal pigment  
6 product without the use of organic solvents if the  
7 metal pigment is prepared by dry milling and thereafter  
8 incorporated into a suitable organic carrier material  
9 by mixing at a temperature above the melting point of  
10 the organic carrier. Once a homogeneous mixture has  
11 been attained, the mass is cooled, often with extrusion  
12 into a more easily handled form, such as granules or  
13 pellets. Such processes were once widely employed for  
14 the preparation of plastics masterbatch. The need to  
15 use dry metal pigments, with their attendant  
16 disadvantages, and the difficulty of wetting such  
17 pigments satisfactorily into the carrier resin, have  
18 contributed to the decline in this type of process.  
19 Although the problem of metal flake dusting may be  
20 reduced if the metal powder precursor is milled in the  
21 presence of the carrier resin, methods traditionally  
22 used to separate wanted from unwanted particle size  
23 fraction, such as dilution with solvent and screening,  
24 are then no longer operable.

25  
26 A more satisfactory processing liquid is water. It is  
27 readily available, inexpensive and non-flammable.  
28 However, a difficulty arises in the case of the more  
29 reactive metal pigments, especially aluminium, which is  
30 the most widely used of such pigments. Aluminium metal  
31 may react with water to form aluminium oxide and  
32 hydrogen gas, according to the equation:-



35  
36 Generation of hydrogen is dangerous, because it is

1 highly explosive. Sealed containers of aqueous metal  
2 pigment pastes may become pressurised and explode. In  
3 addition, the pigmentary properties of the metal flake  
4 are destroyed.

5  
6 Many attempts have been made to stabilise aluminium  
7 against this reaction. Methods employed fall into  
8 several categories, for example resin encapsulation or  
9 chemical treatment. As an example of the former, there  
10 may be mentioned United States Patent 4213886.  
11 Prominent types of chemical treatment are silica  
12 coating (see, for example, United States Patent  
13 2885366), treatment with chromium derivatives (German  
14 Patent 3636183), phosphate treatment, described in  
15 European Patent 0319971 and vanadium treatment  
16 (European Patent 0104075).

17  
18 Milling aluminium powder in a mixture of water and an  
19 organic solvent has been described in United States  
20 Patent 3565655. Morpholine is used as a corrosion  
21 inhibitor with a fatty acid as the milling lubricant.  
22 However, the presence of at least 2 weight percent of a  
23 hydrocarbon or a halogenated hydrocarbon is a necessary  
24 part of the invention.

25  
26 United States Patent 4693754 describes the milling of  
27 aluminium powder in a mixture of hydrocarbons, water,  
28 and a compound of chromium or vanadium which acts as a  
29 corrosion inhibitor. However again the presence of  
30 some organic solvent in the milling process is a  
31 component part of the invention.

32  
33 In WO-A-94/28074 there is described for the avoidance  
34 of odour the milling of aluminium powder, optionally  
35 with solvent or water, without a fatty acid lubricant,  
36 but in the presence of a polymer resin that is a paint



1 or ink binder. This Patent Application does not  
2 include the use of a corrosion inhibitor in the milling  
3 process. In the absence of a corrosion inhibitor,  
4 water milled aluminium pigments are prone to severe  
5 gassing and result in products having a dull grey  
6 colour, in contrast to the bright metallic effects  
7 desired for decorative metallic finishes.

8  
9 There is, therefore, still a need for a satisfactory  
10 process which can be used for milling aluminium powder  
11 and the like without organic solvent.

12  
13 According to the present invention, there is provided a  
14 process for preparing a low- or non-dusting,  
15 substantially non-volatile (preferably highly light  
16 reflective) metal flake pigment composition, which  
17 comprises ball milling atomised metal powder in a  
18 milling fluid substantially comprised of water, in the  
19 presence of one or more corrosion inhibitors and a  
20 substance which acts as a lubricant for the milling  
21 process.

22  
23 Thereafter, unwanted oversize or undersize particles  
24 may be removed. In the next stage of the process the  
25 aqueous metal pigment slurry may be used unaltered, may  
26 be converted to a dry metal flake pigment powder by  
27 removal of the milling fluid, for example at elevated  
28 temperature, or is preferably concentrated to a paste-  
29 like consistency. The metal flake pigment can be  
30 incorporated in an organic binder medium by mixing to  
31 form a coherent paste of an organic binder medium,  
32 water and metal flake pigment, the paste being formed  
33 by mixing a first component comprising organic binder  
34 medium and a second component comprising metal pigment,  
35 with either or both of the first and second components  
36 comprising water and the paste containing from 1 to

1 150%, preferably 3-70%, and most preferably 30 to 60%  
2 of the organic binder medium based on the weight of the  
3 metal pigment, and either sub-dividing the coherent  
4 paste into particles and removing substantially all  
5 volatile liquids from the particles, or removing  
6 substantially all the volatile liquids from the  
7 coherent paste and sub-dividing the resulting mass into  
8 particles, at least 98% by weight of the resulting  
9 particles being retained on a sieve having 150  $\mu\text{m}$   
10 aperture and each containing a plurality of metal  
11 pigment particles dispersed in a matrix of organic  
12 binder medium, the organic binder medium being capable  
13 of binding the metal flake pigment particles by either  
14 precipitation from solution during volatile liquid  
15 removal, or melting or sintering at elevated  
16 temperature and fusion.

17  
18 The volatile liquid will usually consist substantially  
19 of water. For example, the volatile liquid may be  
20 water together with small amounts of additives, for  
21 example lower alcohols as wetting agents and resin  
22 solubilisers and dispersers.

23  
24 The milling fluid used in the present invention  
25 consists substantially of water. The presence of up to  
26 50% by volume (for example up to 30%, especially up to  
27 25%, more especially up to 10%) of an organic solvent  
28 can usually be tolerated. A small amount (for example  
29 less than 2%) of an organic solvent is generally  
30 acceptable. Lower alcohols are especially useful as  
31 defoaming agents. Any such organic solvent is  
32 desirably water miscible. In one embodiment the  
33 milling fluid consists only of water and the system  
34 contains no organic liquid at all, the only organic  
35 compounds present being additives such as the  
36 lubricant(s), corrosion inhibitor(s) etc.

1 One of the advantages of the process of the present  
2 invention is the possibility of treating the milled  
3 flakes whilst in an aqueous system. For example, the  
4 milled flakes can be chromate treated or coated with  
5 silica or alumina prior to any granule formation. Such  
6 a process enables the pigments to be rendered suitable  
7 for different applications or to have different colour  
8 characteristics. Such aqueous treatments are known in  
9 the art, but tend to be difficult and expensive to  
10 carry out since the traditional milling fluid must be  
11 removed before the pigments can be treated in an  
12 aqueous medium. Since the milling process of the  
13 present invention is carried out in water, such  
14 treatments are simple to conduct.

15  
16 The substantially aqueously carried product of the  
17 milling step could be added to surface coating binders  
18 dissolved or dispersed in water, solvent or mixtures of  
19 the two, to prepare a surface coating, such as an ink  
20 or paint. The reaction of certain metal flake  
21 pigments, notably aluminium, is, however unpredictable.  
22 As such a surface coating contains a proportion of  
23 water there exists the possibility that reactions may  
24 occur during storage, with the formation of hydrogen  
25 gas and associated hazards.

26  
27 It is a further advantage of the process of the  
28 invention that water is substantially eliminated from  
29 contact and potential reaction with the metal pigment  
30 through the formation of the substantially non-volatile  
31 metal pigment composition.

32  
33 Metals suitable for the performance of the invention  
34 include all those metals commercially employed as flake  
35 pigments, such as aluminium, zinc, copper, tin, nickel,  
36 iron and alloys thereof, such as gold bronze (an alloy

1 of copper and zinc) or stainless steel (an alloy  
2 composed mainly of iron, nickel and chromium).  
3 Aluminium and gold bronze are preferred. There is no  
4 criticality to the particle size of the milled flakes,  
5 but a flake diameter range of 6  $\mu\text{m}$  to 600  $\mu\text{m}$ ,  
6 preferably 10  $\mu\text{m}$  to 300  $\mu\text{m}$  is generally suitable.  
7 Advantageously, the particles produced are well  
8 flattened highly light reflective flake pigments.

9  
10 Any compounds capable of inhibiting the reaction of the  
11 metal with water may be employed as corrosion  
12 inhibitors, for example phosphorus-, chromium-,  
13 vanadium- or silicon-containing compounds. They may be  
14 used individually or in admixture.

15  
16 Suitable phosphorus compounds may be organic or  
17 inorganic. Simple inorganic phosphates, such as  
18 calcium or magnesium phosphate, or more complex  
19 phosphosilicate compounds may be used. The latter  
20 include calcium phosphosilicate, calcium strontium  
21 phosphosilicate and aluminium zirconium zinc  
22 phosphosilicate. An especially preferred member of  
23 this class is calcium strontium zinc phosphosilicate.

24  
25 Organic phosphorus compounds include alkyl and dialkyl  
26 phosphates and phosphites, with the alkyl groups  
27 containing 2-20 carbon atoms. Iso-octyl acid phosphate  
28 may be particularly mentioned. Also suitable are  
29 phosphate esters of long chain ethoxylated alcohols.  
30 Preferred amongst these is Briphos S2D, a product of  
31 the Albright & Wilson company, which is an ethoxylated  
32 phosphate ester.

33  
34 Silicon containing compounds capable of inhibiting the  
35 reaction of metals with water include organic silanes  
36 and silicates, especially tetraethyl silicate, and

1 inorganic silicon compounds such as potassium silicate.

2

3 Salts of molybdenum, vanadium and tungsten, especially  
4 the ammonium salts, have also shown particular  
5 suitability in the process of the invention.

6

7 Chromium compounds suitable for the process of the  
8 invention include ammonium dichromate and chromic acid.

9 In this class, the former is preferred as it is less  
10 aggressive towards metal grinding media.

11

12 Amongst the above corrosion inhibiting compounds, non-  
13 resinous organic or inorganic phosphates are especially  
14 preferred. They generally offer a high level of metal  
15 passivation with few health and safety concerns.

16

17 Lubricants suitable for the process of the invention  
18 are generally organic compounds recognised in the art  
19 as having surfactant properties. Non-ionic  
20 surfactants, such as ethylene oxide condensates with  
21 aliphatic alcohols or phenols are effective without  
22 affecting the pH of the system. In general it is  
23 desirable that the pH is kept as close to neutral as  
24 possible to assist in the prevention of corrosive  
25 attack of the metal surface. Such lubricants may also  
26 be advantageous in the metal segregation and recovery  
27 stages of the process, for example to overcome the  
28 surface tension of water to ensure efficient screening.  
29 Surprisingly, fatty acids, such as oleic and stearic  
30 acids, widely used as lubricants in the solvent based  
31 ball milling of metal powders, are also effective in  
32 the milling step, especially when made active in the  
33 aqueous phase by surfactants or by formation of a water  
34 soluble alkali salt. In the same way, water insoluble  
35 plasticisers may be used, for example phthalates such  
36 as dioctyl or diisodecyl phthalate, and adipates, such

1 as dioctyl adipate.

2

3 Also suitable as lubricants are polyethylene oxides and  
4 glycols and polypropylene oxides and glycols of various  
5 molecular weights. Those displaying some water  
6 solubility are preferred.

7

8 It may also be desirable to add small quantities of  
9 organic compounds recognised in the art for their  
10 defoaming properties. For example, acetylenic diols  
11 may be used, but the inexpensive lower alcohols are  
12 also effective.

13

14 Advantageously, surfactants may be employed. Examples  
15 include anionic, non-ionic and cationic surfactants,  
16 including the solid alkyl ether phosphates such as the  
17 "Crodafos CS" (Registered Trade Mark) range of Croda  
18 Chemicals Ltd, alkylaryl sulphonates and their alkali  
19 metal derivatives such as alkali toluene sulphonates,  
20 alkali xylene sulphonates, alkali naphthalene  
21 sulphonates, alkali diisopropyl naphthalene sulphonates  
22 and alkali dodecyl benzene sulphonates; alcohol  
23 sulphates such as sodium lauryl alcohol sulphate,  
24 sulposuccinates such as sodium dioctyl  
25 sulposuccinate, sarcosinates such as lauroyl sarcosine  
26 and stearyl sarcosine; fatty amines such as  
27 stearylamine, and distearylamine; amine salts such as  
28 coconut fatty amine acetate; alkyl phenol ethoxylates  
29 such as nonyl phenol ethoxylate; alcohol ethoxylates  
30 such as higher ethoxylated oleyl alcohol; higher  
31 polyoxypropylene-polyoxy ethylene copolymers, such as  
32 alkylolamides such as myristic diethanolamide and  
33 coconut mono-isopropanolamide, esters such as propylene  
34 glycol monostearate and cetyl palmitate; maleic  
35 anhydride copolymers such as the disodium salt of  
36 maleic anhydride and di-isobutylene, and the SMA series

1 of low molecular weight styrene-maleic anhydride  
2 copolymers.

3

4 Phosphate esters (eg Biophos S2D) which also have  
5 lubricating and/or corrosion inhibiting properties are  
6 preferred.

7

8 In a preferred embodiment, additive(s) having corrosion  
9 inhibiting, surface tension reducing and lubricating  
10 properties are present in the milling step.

11

12 Milling lubricants prevent cold welding of flakes which  
13 typically takes place during the ball milling process.  
14 The corrosion inhibitor and milling lubricant functions  
15 may be provided by a single chemical substance, for  
16 example a substituted phosphate ester (such as Briphos  
17 S2D), or the functions may be provided by two or more  
18 different materials.

19

20 There is no criticality to the mechanism of  
21 comminution. Any comminution process known in the art  
22 for metal flake production may be employed, providing  
23 the mechanical energy imparted is not so severe as to  
24 damage the metal flakes being formed. Ball milling is  
25 a widely operated process.

26

27 Neither is there any criticality to the grinding media,  
28 providing they do not react chemically with the other  
29 components during or after the comminution stage of the  
30 process. Stainless steel and high density ceramic  
31 grinding media are generally satisfactory.

32

33 Unwanted oversize or undersize metal flake particles  
34 are removed by any suitable means, such as screening of  
35 a slurry diluted by more water. The aqueous metal  
36 pigment slurry can then be concentrated to a paste by

1 an convenient means, such as a filter press, ready for  
2 admixture with the organic binder medium.

3  
4 Organic binder media include those organic materials  
5 habitually employed as binders in paints and inks or as  
6 plastics masterbatch carriers. The organic binder  
7 chosen is dependent on the envisaged end use of the  
8 product of the invention. Thus, if desired, the binder  
9 can be a solvent-soluble resin. As examples of organic  
10 binder media there may be mentioned cellulose acetate  
11 butyrate (CAB) and cellulose acetate propionate (CAP)  
12 resins, coumarone indene, epoxy esters, epoxide  
13 melamine and epoxide phenolic condensates, ketone,  
14 aldehyde, maleic and phenolic resins and also rosin,  
15 cellulose and petroleum derived resins, together with  
16 thermoplastic polymers, such as polyacrylates,  
17 polyolefins, polyvinyls, styrenics, polyamides,  
18 polyesters and copolymers thereof. Also suitable are  
19 natural and synthetic waxes, such as montan and  
20 paraffin wax and synthetic waxes such as polyethylene  
21 and polypropylene waxes. Where it is desired to  
22 introduce liquid organic binders, such as plasticisers,  
23 for example to improve application properties, they are  
24 desirably added in minor proportions in combination  
25 with solid resins, to provide structural rigidity in  
26 the resulting metal pigment particles. Such  
27 plasticisers include mineral oils as well as phthalates  
28 such as dioctyl or diisodecyl phthalate, and adipates  
29 such as dioctyl adipate.

30  
31 Gelatin and carrageenans are useful water soluble  
32 organic binders due to their suitability for food  
33 contact applications.

34  
35 In a preferred embodiment the binder material is a non-  
36 resinous material.



1 The products of the invention are typically in a form,  
2 such as tablet, pellet, granule, flake or spherical  
3 bead, which provides the attributes of ease of  
4 handling, low- or non-dusting and meterability.  
5 Granules having a length of 5-20mm, a cylindrical cross  
6 section and a diameter of 1.5-3mm are preferred, as  
7 they have been found to offer optimum handling  
8 characteristics, especially in plastics applications  
9 where it is important to prevent stratification of  
10 polymer pellets and the particularly dense products of  
11 the invention. Apparatus used for the preparation of  
12 such physical forms is well known to those skilled in  
13 the art and is described in, for example, European  
14 Patent 0134676.

15  
16 The function of the organic binder medium is to bind  
17 the metal pigment flakes together to prevent them  
18 becoming airborne as dust. Where the organic binder  
19 medium is soluble or dispersible in water, it may be  
20 added as an aqueous solution or dispersion. Where it  
21 is insoluble in water it is preferable to micronise the  
22 binder. In this latter case, the coherent paste of  
23 metal pigment, water and micronised organic binder  
24 medium is formed into particles, for example by mixing  
25 and extrusion, then dried at for example 60°C to remove  
26 substantially all the water, then heat treated at  
27 elevated temperature, for example 100 to 130°C for a  
28 short time, to fuse together the micronised organic  
29 binder medium and the metal pigment. This process is  
30 particularly suited to products for the plastics  
31 market, in which suitable organic binder media tend to  
32 be insoluble in water. It provides a final product  
33 form which has excellent colouristic and application  
34 properties when used in the injection moulding or  
35 extrusion of thermoplastics.

36

1 In extreme cases the chosen binder may be insoluble in  
2 water and unsuitable for micronising and fusion. In  
3 such cases the binder may be dissolved or dispersed in  
4 a suitable organic solvent prior to admixture with the  
5 metal pigment component. Such a solvent is desirably,  
6 though not essentially, water miscible.

7  
8 In a preferred embodiment the metal is aluminium.  
9 Conveniently the lubricant is a mixture of a surfactant  
10 and a fatty acid, such as oleic or stearic acid. Oleic  
11 acid in an amount of 1 to 100%, preferably 2 to 60%,  
12 most preferably 3 to 8%, for example approximately 5%,  
13 by weight relative to the metal has been found to be  
14 effective in mixture with non-ionic surfactants at 5-  
15 15%. The corrosion inhibitor may advantageously be a  
16 phosphate ester having a polyethylene oxide side chain.

17  
18 The invention is further illustrated by the following  
19 Examples in which all parts and percentages are by  
20 weight, unless otherwise stated.

21  
22 Example 1

23  
24 To a cylindrical mill of diameter 21 cm were added:  
25 5000g of 1/8 inch (3.2mm) diameter stainless steel  
26 balls;  
27 500g of distilled water;  
28 100g of fine particle size, aluminium powder; and  
29 13g of Briphos S2D, which had been pre-neutralised with  
30 triethanolamine - this makes the Briphos more soluble  
31 and reduces attack of the aluminium. Briphos S2D is a  
32 phosphated ester of a long chain ethoxylated alcohol  
33 manufactured by Albright and Wilson. The mill was  
34 rotated at 80 rpm for 4 hours and the aluminium pigment  
35 so formed separated from the steel balls by sieving,  
36 prior to recovery in a filter funnel.

1 In a laboratory mixer, a quantity of the aluminium  
2 flake pigment thus obtained, containing 25.0g solids,  
3 was added to 10.7g Hoechst Ceridust 3620, a micronised  
4 polyolefin wax with a particle size of less than 22  
5 microns. The mixture was formed into granules by  
6 extrusion through a 2mm diameter die, and dried in an  
7 oven held at a temperature of 60°C +/- 10°C for two  
8 hours. The granules were then placed in an oven held  
9 at 115°C +/- 10°C for 10 minutes to fuse the organic  
10 binder medium. 35.1g of substantially water free, non-  
11 dusting, free flowing, meterable granules of approx.  
12 12mm length and of good abrasion resistance were  
13 obtained.

14  
15 A test piece made by injection moulding the granule in  
16 polystyrene at a level of 1% calculated on polymer  
17 weight, had a smooth, fine and bright silvery  
18 appearance.

19

## 20 Example 2

21

22 The following mixture was milled in a cylindrical mill  
23 as described in Example 1, with the following  
24 formulation:-

25 5000g of 1/8 inch (3.2mm) diameter stainless steel  
26 balls;

27 500g of distilled water;

28 100g of aluminium powder of approx. 33µm average  
29 particle diameter;

30 13g of Briphos S2D, which had been neutralized with  
31 triethanolamine;

32 2.85g of Antarox V27, a linear C<sub>8-10</sub> aliphatic alcohol;  
33 ethoxylate/propoxylate alcohol manufactured by Rhone-  
34 Poulenc Chemicals; and

35 0.15g of Surfynol 104, an acetylinic diol from Air  
36 Products.

1 A quantity of the derived aluminium flake pigment  
2 paste, containing 24.1g solids, was added to 10.3g of  
3 FE 532-00, a micronised ethylene vinyl acetate resin  
4 sold by Croxton and Garry. The mixture was granulated,  
5 dried and heat treated as in Example 1. A test piece  
6 made by injection moulding the granule in polypropylene  
7 at a level of 3%, calculated on polymer weight, had a  
8 bright, highly sparkling, silvery appearance.

9

### 10 Example 3

11

12 The method of Example 1 was repeated with the  
13 substitution of Hoechst Ceridust 3620 by an equal  
14 weight of Polyox WSR 301, a solid, non-ionic, high  
15 molecular weight, water-soluble, polyethylene oxide  
16 polymer. The mixture was formed into granules by  
17 extrusion through a 2mm diameter die, and dried in an  
18 oven held at a temperature of 50°C +/- 10°C for four  
19 hours. 35.2g of substantially water free, non-dusting,  
20 free flowing, meterable granules of approx. 12mm length  
21 and of good abrasion resistance were obtained.

22

23 An aqueous printing ink was prepared by combining the  
24 following:-

25 20g of the granule product of this Example, dispersed  
26 in 25g water and let down with  
27 53g Zinpol 132, an acrylic resin emulsion of 40%  
28 solids, supplied by Worlee Chemie and  
29 2g waxes and defoaming additives.

30 The ink thus formed was stable to gassing and provided  
31 a smooth, bright metallic silver effect when printed on  
32 a paper substrate.

33

### 34 Example 4

35

36 10g Halox ZSP 391, a calcium strontium zinc phospho-

1 silicate compound, sold by Halox Pigments of Hammond,  
2 Indiana, United States of America.  
3 3g oleic acid,  
4 5g Pluriol 600, a polypropylene glycol supplied by BASF.  
5 A.G., and  
6 50g deionised water  
7 were high speed stirred to solution.  
8 35.1g of solution,  
9 219.4g deionised water, and  
10 30g atomised aluminium powder of approx. 8µm average  
11 particle diameter  
12 were set on a vibratory mill with 1500g 1/8 inch  
13 (3.2mm) diameter stainless steel balls, in a rigid  
14 plastic pot. After 4 hours, the contents were removed  
15 and separated from the steel balls. Water was added to  
16 a metal concentration of about 3% and the slurry  
17 screened on a 400 mesh screen. The undersize fraction  
18 was concentrated in a filter press to about 80% metal  
19 content, then combined with Microthene 532-00, an  
20 ethylene vinyl acetate polymer sold by Croxton & Garry  
21 Ltd, by the fusion process of Example 1.  
22  
23 When injection moulded at 1.5% w/w in polypropylene,  
24 the granule exhibited a particularly bright, reflective  
25 silver coloration, with good dispersion.  
26  
27 The dispersibility characteristics of this product can  
28 be further enhanced by replacing up to 30% of the  
29 Microthene by a high boiling mineral oil such as  
30 Kaydol, manufactured by Witco.

31  
32 Example 5  
33

34 200g Halox SZP-391  
35 100g Pluriol P600  
36 100g Antarox V27

1 100g water and  
2 60g oleic acid  
3 were high speed stirred for 10 minutes to form a  
4 homogeneous mixture. To a cylindrical mill of diameter  
5 21cm were added: 5000g of 1/8 inch (3.2mm) diameter  
6 stainless steel balls, 75g of the above mixture, 100g  
7 aluminium powder of 9µm median particle diameter and  
8 375g of distilled water.

9  
10 The mill was rotated at 105 rpm for 6 hrs to form a  
11 flake pigment product of approximately 25µm median  
12 particle diameter. The grinding media were removed on  
13 a coarse screen and the product itself screened on a  
14 400 mesh (38µm) screen using water as the washing  
15 liquid. The flake passing through the screen was  
16 concentrated on a filter funnel. When converted to a  
17 granule and tested in the water based ink system of  
18 Example 3, this material provided a bright silver  
19 effect with good coverage and good adhesion to a paper  
20 substrate.

21  
22 The Halox SZP-391 of this Example may be replaced by  
23 members of the Heucophos range of multiple metal  
24 phosphates manufactured by Heubach GmbH, with similar  
25 results.

26

#### 27 Example 6

28

29 19g Antaroz V27 and  
30 1g Surfynol 104  
31 were warmed and mixed together to form a homogeneous  
32 liquid, which was mixed into 120g of the water based  
33 paste intermediate of Example 1, containing 80g metal,  
34 to form a stiff paste. The paste was extruded through  
35 a 2.5mm diameter die into strands and the water removed  
36 by drying at 70°C. The resulting dry strands were

1 broken up into granules of approximately 1cm length.  
2 An aqueous ink was prepared by predispersing the  
3 granules in a small quantity of water into which the  
4 Zinpol medium of Example 3 was thereafter blended. A  
5 bright ink, with good stability and coverage was  
6 obtained.

7  
8 The Antarox and Surfynol in this example may be  
9 replaced by 20g of the natural product carrageenan in  
10 powder form with similar results. Such a granule has  
11 particularly good shelf life and redispersion in water.

12  
13 **Example 7**

14  
15 To a 0.5 litre capacity vibratory pot mill were added:-  
16  
17 1.5kg of 2.4mm diameter ceramic grinding media,  
18 150g water,  
19 30g aluminium powder of 7µm average particle diameter,  
20 2.5g iso-octyl acid phosphate and sufficient 25%  
21 aqueous ammonia to maintain the pH at approximately 7.

22  
23 The charge was milled for 3 hrs then recovered,  
24 screened, formed into granules and tested as in  
25 Example 5. The printed ink had similar brightness, but  
26 superior coverage to that of Example 5.

27  
28 **Example 8**

29  
30 To 0.5 litre capacity vibratory pot mill were added:-  
31  
32 1.5kg of 3mm diameter stainless steel grinding media,  
33 150g water,  
34 30g aluminium powder of 7µm average particle diameter,  
35 2.4g Briphos S2D and  
36 0.6g 25% aqueous ammonia.

1 The charge was milled for 4 hrs, then discharged with  
2 water and screened on a 400 mesh (38 $\mu$ m) screen. The  
3 fraction passing through the screen was collected and  
4 concentrated under vacuum in a filter funnel. A  
5 quantity of the filter cake, corresponding to 80g metal  
6 was thoroughly mixed with 20g of a commercial source of  
7 C<sub>12-14</sub>-aliphatic alcohols. The stiff paste was formed  
8 into pellets and the water removed at 65°C. The  
9 resulting product had good stability and colouristic  
10 properties in a one pack UV curing resin system.

11

12 **Example 9**

13

14 The milled, screened and recovered paste product of  
15 Example 8 was mixed with micronised Laropal K80 ketone  
16 resin, manufactured by BASF AG in the ratio 80 parts by  
17 weight of metal to 20 parts by weight of resin. The  
18 mixture was formed into granules by extrusion and the  
19 water removed in an oven of 70°C.

20

21 When tested in a solvent based ink, the granular  
22 product displayed similar characteristics to a granule  
23 prepared by the methods described in EP-A-0134676 using  
24 a conventional solvent milled paste as starting  
25 material.

26

27 **Example 10**

28

29 An amount of the milled, screen and recovered paste  
30 product of Example 8 corresponding to 80g metal was  
31 placed in a 1 litre beaker fitted with a propeller  
32 agitator set at 400 rpm. With agitation there were  
33 then added,  
34 530g Dowanol PB29, an ether-alcohol solvent of the Dow  
35 Chemical Co,  
36 26.7g of 25 % aqueous ammonia,



1 20g tetraethyl silicate and finally another  
2 26.7g of 25% aqueous ammonia.

3 The mixture was agitated for 5 minutes, then there was  
4 added another 26.7g of 25% aqueous ammonia.

5

6 After a further two hours mixing, air was bubbled  
7 through the slurry for 2 hours to remove excess ammonia  
8 and the metal recovered on a Buchner filter.

9 Thereafter, the filter cake was converted to a gold  
10 pigment by the process of Example 1 of our co-pending  
11 British Patent Application No 9609507.0 (ie was stirred  
12 at 600 rpm with a paddle agitator for one hour, then  
13 filtered under vacuum on a Buchner funnel, and washed  
14 with excess water. The product was a viscous paste  
15 dispersible in both water and oxygen-containing  
16 hydrocarbon solvents) and then to a granule by the  
17 process of Example 25 of EP-A-0134676. The granules so  
18 formed provided a rich gold coloration in water based  
19 paints and inks.

20

21 Example 11

22

23 2g of oleic acid  
24 2g of Antaron V27 and Surfinol 104 in 19:1 weight ratio  
25 were mixed together and added dropwise to  
26 140g vigorously stirred water in a beaker containing  
27 1g isopropanol, to form an emulsion. There was then  
28 added

29 4g hydrogen peroxide (30%w/w; 100 volume) and the whole  
30 added to

31 30g A 7401 atomised aluminium powder of 8µm average  
32 particle diameter in a ball milling pot of 500ml volume  
33 containing

34 1500g spherical, stainless steel grinding media of 3mm  
35 average diameter.

36 The pot was sealed and placed on a vibratory mill for

1 4 hours. The charge was washed out with  
2 200g water, screened on a 400 mesh screen and an  
3 aqueous paste recovered by vacuum filtration.  
4 The water was removed by drying at 50°C and 16g of the  
5 resulting fine flake powder incorporated into  
6 8.89g Worleesol 31, a modified linseed oil polymer  
7 (supplied by Worlee Chemie as a 45% solution in 80/20  
8 w/w water/2-butoxy ethanol), further diluted in  
9 3.5g of a 60/40 weight ratio mixture of water and  
10 isopropanol.  
11 After forming into granules and evaporating the  
12 volatiles at 60°C, the soft, dry product was found to  
13 give a bright, high coverage silver finish in an  
14 exclusively isopropanol based or water/isopropanol  
15 based varnish.

#### 16 17 Example 12

18  
19 A formulation comprising  
20 1500 spherical, ceramic grinding media of 3mm average  
21 diameter,  
22 150g water,  
23 30g aluminium powder of 8µm average particle diameter,  
24 2.4g Crodafos 25D5A, an ethoxy (5) C<sub>12-15</sub> alcohol acid  
25 phosphate ester, supplied by Croda Ltd and  
26 0.6g ammonia, 25% in water was loaded into a 500ml pot  
27 and milled on a vibratory mill for 3 hours.  
28 The grinding media was removed on a coarse screen and  
29 the metal flake pigment product screened on a 400 mesh  
30 (38µm) screen using water as the washing liquid. The  
31 flake passing through the screen was concentrated on a  
32 filter funnel. When converted to a granule with a  
33 carrageenan carrier and tested in the water based ink  
34 system of Example 3, this material provided a bright  
35 silver effect with good coverage.

36

1     **Example 13**

2

3     The method of Example 12 was repeated with the  
4     substitution of Crodafos 25D5A by an equal weight of  
5     Crodafos T5A, an ethoxy (5) isotridecanol acid  
6     phosphate ester. Similar results were obtained on  
7     conversion to a granule and testing as described  
8     therein.

9

10    **Example 14**

11

12    The method of Example 12 was repeated with the  
13    substitution of Crodafos 25D5A by an equal weight of  
14    Crodafos N10N, an ethoxy (10) oleyl alcohol phosphate  
15    ester diethylamine salt. Similar colouristic results  
16    were obtained on conversion to a granule and testing as  
17    described therein. This material, however, also had  
18    outstanding adhesion to the paper substrate, as  
19    demonstrated by a tape test.

20

21    **Example 15**

22

23    A formulation comprising  
24    1500 spherical, stainless steel grinding media of 3mm  
25    average diameter,  
26    150g distilled water,  
27    30g aluminium powder of 25µm average particle diameter  
28    and  
29    2g octyl phosphonic acid  
30    was charged to a 500ml pot and milled on a vibratory  
31    mill for 1.5 hours.  
32    After removing the grinding media, the milled material  
33    was screened on a 325 mesh (45µm) screen and recovered  
34    as a granule by the method of Example 12 using as  
35    carrier a water soluble part hydrolysed polyvinyl  
36    acetate resin. The 80% metal content granule provided

1 a very bright, sparking coating based on Joncryl 537,  
2 an aqueous acrylic industrial paint resin manufactured  
3 by S C Johnson.

4

5 Example 16

6

7 Aluminium pigment, made by the process of example 1 as  
8 a water carried paste containing 40g of aluminium  
9 metal, was mixed with 13.3 g of Beetle BE 370,  
10 hexamethoxymethyl melamine from BIP Speciality Resins  
11 Ltd. The mixture was formed into granules by extrusion  
12 through a 2 mm diameter die, and dried in an oven held  
13 at a temperature of 60°C for 16 hours.

14

15 An aqueous printing ink was made by dispersing 5 g of  
16 the dried granule in 5 g of distilled water, and then  
17 mixing this dispersion with 15 g of Glascol LS2, a  
18 colourless water based ink from Allied Colloids. A  
19 drawdown on to white paper showed that the product had  
20 a bright metallic silver colour.

21

22 Example 17

23

24 The procedure of example 16 was repeated with the  
25 Beetle BE 370 replaced by RC-294-J427, an acetylenic  
26 diol surfactant from Air Products and Chemicals Inc.  
27 The dried granular product so formed gave a brilliant  
28 metallic silver effect when made up into a Glascol LS2  
29 ink.

30

31 Example 18

32

33 A dispersion of  
34 7.5 g Molywhite 212, a basic calcium zinc molybdate  
35 from Sherwin Williams Chemicals  
36 1.5g oleic acid

- 1 2.5g Pluriol P600
- 2 2.5 g Antarox V27
- 3 25.0 g distilled water
- 4 was added to
- 5 30 g of a fine particle size aluminium powder
- 6 100 g distilled water
- 7 1500 g of 1/8 inch diameter stainless steel balls
- 8 and milled on a vibratory mill for 3.5 hours.
- 9
- 10 A flaked aluminium paste product was extracted from the
- 11 grinding media. If required, the paste can be
- 12 converted into granular form as described in previous
- 13 Examples.

## 1 CLAIMS

2

- 3 1. A process for preparing a low- or non-dusting,  
4 substantially non-volatile metal flake pigment  
5 composition, said process comprising ball milling  
6 atomised metal powder in the presence of a milling  
7 fluid, together with either an additive having  
8 both lubricating and corrosion inhibiting  
9 properties or with a lubricant and at least one  
10 corrosion inhibitor; wherein said milling fluid  
11 consists substantially of water.  
12
- 13 2. A process as claimed in claim 1 which includes the  
14 step of screening the milled composition to select  
15 particles of the desired size.  
16
- 17 3. A process as claimed in either one of claims 1 and  
18 2 which includes the step of forming a coherent  
19 paste of an organic binder medium, water and metal  
20 flake pigment.  
21
- 22 4. A process as claimed in claim 3 wherein said paste  
23 is formed by mixing a first component comprising  
24 organic binder medium and a second component  
25 comprising metal pigment, with either or both of  
26 the first and second components comprising water  
27 and the paste containing from 3-70% of the organic  
28 binder medium based on the weight of the metal  
29 pigment, and either sub-dividing the coherent  
30 paste into particles and removing substantially  
31 all volatile liquids from the particles, or  
32 removing substantially all volatile liquids from  
33 the coherent paste and sub-dividing the resulting  
34 mass into particles, at least 98% by weight of the  
35 resulting particles being retained on a sieve  
36 having a 150µm aperture and each containing a

1 plurality of metal pigment particles dispersed in  
2 a matrix of organic binder medium, the organic  
3 binder medium being capable of binding the metal  
4 flake pigment particles by either precipitation  
5 from solution during volatile liquid removal, or  
6 sintering or melting at elevated temperature and  
7 fusion.

8

9 5. A process as claimed in any one of claims 1 to 4  
10 wherein said lubricant comprises an ethylene oxide  
11 condensate with alcohols or phenols, and a fatty  
12 acid or a derivative thereof.

13

14 6. A process as claimed in any one of claims 1 to 5  
15 wherein said corrosion inhibitor is a phosphorus-,  
16 chromium-, vanadium- or silicon-containing  
17 compound.

18

19 7. A process as claimed in claim 6 wherein said  
20 corrosion inhibitor is a phosphate ester having a  
21 polyethylene oxide side chain.

22

23 8. A process as claimed in any one of claims 1 to 4.  
24 wherein a single moiety acts as lubricant and  
25 corrosion inhibitor.

26

27 9. A process as claimed in claim 8 wherein said  
28 moiety is a phosphate ester having a polyethylene  
29 oxide side chain.

30

31 10. A process as claimed in any one of claims 1 to 9  
32 wherein a surfactant is present in said milling  
33 step.

34

35 11. A process as claimed in any one of claims 1 to 10  
36 wherein the metal pigment is of aluminium, zinc,

1 copper, tin, nickel, iron or alloys thereof.

2

3 12. A process as claimed in any one of claims 1 to 11  
4 wherein the metal pigment is of aluminium or gold  
5 bronze.

6

7 13. A process as claimed in any one of Claims 1 to 12  
8 wherein the metal pigment is a highly light  
9 reflective pigment.

10

11 14. A process as claimed in any one of claims 1 to 13  
12 wherein the metal pigment is aluminium, the  
13 lubricant is oleic acid and the corrosion  
14 inhibitor is a phosphate ester having a  
15 polyethylene oxide side chain.

16

17 15. A process as claimed in any one of claims 1 to 14  
18 which includes a step of treating the milled metal  
19 pigment flakes in an aqueous system.

20

21 16. A process as claimed in claim 15 wherein said  
22 milled metal pigment flakes are treated with  
23 chromate, coated with silica or coated with  
24 alumina.

25

26 17. A process as claimed in any one of claims 1 to 15  
27 wherein said pigments are coated with micronised  
28 binder and sintered.

29

30 18. Use of a phosphate ester having a polyethylene  
31 oxide side chain as an additive in a process of  
32 ball milling a metal powder.

33

34 19. A paste comprising a ball-milled metal flake  
35 pigment, water and agent(s) having lubricating and  
36 corrosion inhibiting properties.



- 1 20. A paste as claimed in claim 19 comprising ball-  
2 milled aluminium or gold bronze flake pigment,  
3 water and a phosphate ester having a polyethylene  
4 oxide side chain.  
5
- 6 21. A granule containing a plurality of milled metal  
7 pigment particles dispersed in a matrix of organic  
8 binder medium without any organic solvent present.  
9
- 10 22. A granule as claimed in claim 21 which is a  
11 sintered granule and wherein said metal pigment  
12 particles are dispersed in a matrix of micronised  
13 organic binder medium.  
14

## INTERNATIONAL SEARCH REPORT

Int. Application No

PCT/GB 97/02912

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C09C1/62 C09C1/64 C09D17/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C09C C09D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2 858 230 A (A.F. KNOLL ET AL.) 28 October 1958  see column 1, last paragraph - column 2, paragraph 1; claims 1,3; example 7 ---	1,5,6, 11,12, 15,19
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X	GB 1 191 204 A (SHOWA ALUMINUM POWDER CO.) 13 May 1970 see example 5 & US 3 565 655 A (...) cited in the application ---  -/-	1,5, 10-13,19

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## INTERNATIONAL SEARCH REPORT

Information on patent family members

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